



IN THE
UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor(s): Xia Sheng et al

Confirmation No.: 1287

Application No.: 09/975296

Examiner: n/a

Filing Date: Oct 12, 2001

Group Art Unit: 2811

Title: APPARATUS AND METHOD FOR FIELD-ENHANCED MIS/MIM ELECTRON EMITTERS

COMMISSIONER FOR PATENTS
Washington, D.C. 20231

STATEMENT UNDER 37 CFR 1.97(e)

Sir:

The undersigned hereby certifies that either:

- (X) Each item of information contained in the Information Disclosure Statement was cited for the first time in a communication from a foreign patent office in a counterpart foreign application, not more than three months prior to the filing of the statement, or
- () No item of information contained in the Information Disclosure Statement
- was cited in a communication from a foreign patent office in a counterpart foreign application, and
 - to the knowledge of the undersigned, after making reasonable inquiry, was known to any individual designated in 37 CFR 1.56 (c) more than three months prior to the filing of the Information Disclosure Statement.

Respectfully submitted,

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By

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